

Diffeomorphic Independent Contrasts for Ancestral Reconstruction of Shapes

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ABSTRACT

1 Ancestral reconstruction is a fundamental challenge in evolutionary biology, requiring
2 methods that can capture complex morphological changes while accounting for
3 phylogenetic relationships. Current approaches are based on linear assumptions that often
4 oversimplify the spatial relationships between anatomical features and fail to account for
5 landmark correlations within shapes. Here, we introduce a novel method that combines the
6 ability of Large Deformation Diffeomorphic Metric Mapping (LDDMM) to model smooth,
7 invertible transformations between shapes while preserving the relationships between
8 landmarks with Felsenstein's Independent Contrasts (IC) to iteratively reconstruct
9 ancestral shapes along the branches of a phylogenetic tree. We call this method
10 Diffeomorphic Independent Contrasts for Ancestral Reconstruction of Shapes (DICAROS).
11 We validate DICAROS against two existing methods: (1) Linear predictors using Ordinary
12 Least Squares and (2) Ancestral character estimation using maximum likelihood under
13 Brownian Motion and apply DICAROS to a dataset of swallowtail butterfly species
14 (Family Papilionidae, Order Lepidoptera) to reconstruct the ancestral shape and visualize
15 evolutionary trajectories in a phylomorphospace from the contrasts. We conclude that

16 DICAROS outperforms the existing methods in terms of accuracy and provides a more
17 accurate reconstruction of the ancestral shape for non-symmetric phylogenetic trees. With
18 DICAROS we show a transition between un-tailed and tailed papilionidae species while
19 also illustrating how images of modern species would look under the DICAROS ancestral
20 reconstruction

21 *Key words:* Ancestral Shape Reconstruction, LDDMM, Phylogenetics, Papilionidae

22 Evolutionary studies of morphology and omics have experienced rapid advancement
23 in recent years, driven by new analytical methodologies and technological progress. From
24 the increased accessibility of phylogenetic analyses and geometric morphometric (GM)
25 through open-source software packages like Geomorph (Adams (2013, 2024)) and PhyTools
26 (Revell (2024)) in the programming language R. These tools enable researchers to do
27 phylogenetic comparative analysis while accounting for shared inheritance between related
28 species in morphological and phylogenetic analyses, building on foundational work by
29 Felsenstein and Bookstein (Felsenstein (1985); Bookstein (1997)).

30 Parallel advances in genomic sequencing and assembly (Höhna (2016); Zhang
31 (2025)) have enabled phylogenetic inference across large evolutionary trees (Misof (2014);
32 Kawahara (2023); Stiller (2024)). Which allows for the study of complex shape change in
33 across tree of life, such as the mammalian skull evolution (Goswami (2023)) to bird brain
34 and skull development (Chiappe (2024)) and butterfly wing vein patterns (Chazot (2016);
35 Owens (2020)).

36 The increasing scale and complexity of these taxonomic datasets have been
37 supported by the digitization of museum collections (Nelson (2019)), with resources like
38 GBIF (GBIF (2024)), Morphosource (Morphosource (2024)), and community-driven species
39 mapping platforms (Inaturalist (2024)) providing unprecedented access to information
40 across institutions. With these resources it is possible to combine phylogenetic information
41 with shape data derived from landmarks placed on anatomical correspondences in 2D

42 images or 3D reconstructions (Gunz (2013); Bardua (2019); Mitterocker (2021)). This
43 enables ancestral shape reconstruction (Schluter (1997); Revell (2024)) and visualization in
44 lower-dimensional phylomorphospaces (Polly (2013); Baken (2021)).

45 The current paradigm within morphological methods for ancestral reconstruction,
46 including methods such as Ordinary Least Squares (OLS) linear predictors (Adams (2013,
47 2024)) and Ancestral character estimation using maximum likelihood under Brownian
48 Motion (Revell (2024)), rely on linear assumptions that simplifies the complex spatial
49 relationships between anatomical features. A key limitation is their inability to account for
50 the correlation between landmarks within shapes, neglecting how changes in one
51 landmark's position can influence others during, e.g., reconstruction. This correlation
52 structure is critical for two main reasons. First, biological shapes exhibit inherent
53 modularity through physical proximity and functional relationships between features
54 (Mitterocker (2007); Klingenberg (2008); Adams (2016)). Ignoring these relationships leads
55 to oversimplified models that may miss important biological patterns. Second,
56 understanding landmark correlations provides insights into developmental constraints and
57 evolutionary mechanisms shaping morphological variation (Hallgrímsson (2009); Young
58 (2010)).

59 To address these limitations, we employ Large Deformation Diffeomorphic Metric
60 Mapping (LDDMM) (Beg (2005); Younes (2010)). In this sense, our work can be seen as a
61 continuation of Tangent Phylogenetic PCA (Akhøj (2023)), which is a method for
62 ancestral state reconstruction based on nodes taking values on any finite-dimensional
63 Riemannian manifold, including the LDDMM landmark manifold. LDDMM provides a
64 mathematically rigorous framework for analyzing landmark configurations by explicitly
65 modeling the correlations between landmarks. Operating as a Hamiltonian framework,
66 LDDMM enables shape registration through smooth, invertible mappings that follow
67 optimal geodesic paths between configurations. This approach preserves the topological
68 relationships between landmarks throughout the transformation process, making it

69 particularly suitable for biological shape analysis. The framework has been successfully
70 applied in computational anatomy and medical imaging for tasks such as brain mapping
71 (Durrleman (2014); Miller (2015)).

72 This study leverages LDDMM's geodesic paths to reconstruct ancestral shapes
73 along branches in a phylogenetic tree by combining LDDMM with Felsenstein's
74 *Independent Contrasts* (IC) method (Felsenstein (1985)). We call this novel approach
75 *Diffeomorphic Independent Contrasts for Ancestral Reconstruction of Shapes* (DICAROS).
76 Unlike traditional geometric morphometrics based on Kendall's shape space (Klingenberg
77 (2020)) and Procrustes alignment (Gower (1975)), the LDDMM framework offers several
78 key advantages: it explicitly models landmark correlations, enables stochastic shape
79 development models, and extends beyond landmarks to continuous curves, surfaces, and
80 images. Our primary contribution is reformulating Felsenstein's method using Riemannian
81 manifold operations while maintaining equivalence to the original Euclidean approach
82 when applied to vector data. We validate DICAROS by comparing the root reconstruction
83 against two established methods: (1) Ordinary Least Squares (OLS) linear predictors
84 (Adams (2013, 2024)) and (2) Ancestral character estimation using likelihood under
85 Brownian Motion (Revell (2024)). To demonstrate DICAROS' practical utility, we apply it
86 to reconstruct ancestral wing morphology on swallowtail butterflies (Family Papilionidae,
87 Order Lepidoptera), by showing the phylomorphospace estimated by DICAROS, and the
88 evolutionary shape trajectories from a leaf image to the root shape.

MATERIALS AND METHODS

Independent contrasts for LDDMM shape observations

The *independent contrasts* (IC) method (Felsenstein (1985)) reconstructs ancestral states and estimates evolutionary covariance matrices. Here, we generalize the IC to handle shape data, where each node value x represents a shape defined by landmarks $x^1, \dots, x^k \in \mathbb{R}^d$. Rather than treating shapes as vectors in Euclidean space $\mathbb{R}^{d \cdot k}$, we view them as elements of the LDDMM landmark manifold where distances between shapes are measured by geodesics paths. We combine LDDMM with IC by interpreting the phylogenetic tree as a network of geodesic paths on this manifold. Starting from the leaves, we traverse the tree in post-order, computing the diffeomorphism between sister taxa at each internal node. This diffeomorphism defines a geodesic path between the branches of shape x_i and x_j . Given the relative position of their common ancestor y_i along this path, we reconstruct the ancestral shape by deforming x_i toward x_j and stopping at the appropriate position of y_i . Where x_i corresponds to the child of y_i , with the shortest branch length. We repeat this process until reaching the root.

The LDDMM framework enables the computation of an evolutionary covariance matrix through the right Lie transport of cotangent vectors, accounting for the nonlinear geometry of shape space. This allows us to perform a generalized version of Phylogenetic PCA (Revell (2009)) that respects the manifold structure of the shape data. The key advantage of our approach is that both the ancestral reconstruction and covariance estimation are performed using operations that are appropriate for shape data, unlike traditional methods that rely on linear approximations. The LDDMM framework introduces a kernel parameter σ which determines the spatial scale of correlations between landmarks through a Gaussian kernel $\kappa(q_i, q_j) := \exp^{-\|q_i - q_j\|^2 / 2\sigma^2} \in \mathbb{R}$ with parameter $\sigma > 0$ (Miller (2002); Younes (2010); Pennec (2019)). A larger kernel width means that movements of one landmark have a broader influence on surrounding landmarks, while a smaller width allows more localized, independent deformations. This parameter effectively

116 controls the "stiffness" or "elasticity" of the deformation field but also implies there is no
117 canonical metric on the LDDMM landmark manifold, making σ a hyperparameter.

118 The LDDMM landmark manifold is a Riemannian manifold, where standard vector
119 space operations like addition and multiplication are not available. Instead, the
120 *Riemannian exponential* map $\text{Exp}_x(v)$ and *Riemannian logarithmic* map is used $\text{Log}_x(y)$ as
121 generalizations of addition and subtraction. The logarithm $\text{Log}_{x_i}(x_j)$ between two shapes
122 x_i and x_j yields a vector v in the *tangent space* $T_{x_i}\mathbb{R}^{d \times k}$ at x_i , providing a local linear
123 approximation of the curved manifold (Michor (2020)). The exponential map $\text{Exp}_{x_i}(x_j)$
124 takes this tangent vector and maps it back to a point on the manifold.

125 When computing these maps for independent contrasts, the kernel width σ directly
126 influences how shape differences are interpreted through the cometric $g_m^*(x)$, which is a
127 block matrix of Gaussian kernels, here we choose σ as the mean distance between each
128 landmark and its nearest neighbor. Since the contrasts are computed as cotangent
129 (momentum) vectors in different cotangent spaces $T_x^*\mathbb{R}^{d \times k}$, we need to map them to a
130 common space to compute the phylogenetic covariance matrix. For the LDDMM landmark
131 manifold, this mapping between cotangent spaces can be achieved by composing linear
132 transformations based on the Jacobians of the optimal diffeomorphisms along the geodesic
133 path between shapes (see Supplementary Section 1 for mathematical details).

134 To adapt the IC method for this manifold structure, we modify the computation of
135 covariance matrices by replacing standard vector outer products with Riemannian
136 metric-weighted outer products, as shown in Equation (1). Our algorithm for IC on the
137 LDDMM manifold follows the same structure as the Euclidean version, differing only in
138 three key steps that employ Riemannian operations: step 3 (raw contrast computation
139 between nodes), step 5 (weighted mean computation for inner nodes), and step 9
140 (evolutionary covariance matrix computation using standardized contrasts) as detailed in
141 Algorithm 1. With the evolutionary covariance matrix we project all the evolutionary shape
142 trajectories with Principal Component Analysis (PCA) into a so-called Phylomorphospace.

Algorithm 1 Independent contrasts on the LDDMM landmark manifold

Require: A phylogenetic tree with $|V|$ nodes, $|E|$ branches and leaf node observations

$$x_1 \dots x_N \in \mathbb{R}^{d \cdot k}.$$

Ensure: Estimates of the root value and evolutionary covariance matrix.

- 1: **while** $|V| > 1$ **do**
- 2: Choose two leaf nodes x_i and x_j with common parent node.
- 3: Compute the raw contrast $c_{ij} = \text{Log}_{x_i}(x_j)$, where Log is the Riemannian logarithm.
- 4: Compute the standardized contrast

$$s_{ij} = \frac{c_{ij}}{e_i + e_j},$$

where e_i, e_j are the lengths of the branches leading to their common parent node.

- 5: Remove nodes i, j and branches e_i, e_j from the tree, so that $|V|$ is lowered by 2. The parent node k now becomes a leaf. Assign to it the node value

$$x_k \leftarrow \text{Exp}_{x_i} \left(\frac{1/e_j}{1/e_i + 1/e_j} \cdot c_{ij} \right) = \text{Exp}_{x_j} \left(\frac{1/e_i}{1/e_i + 1/e_j} \cdot c_{ji} \right),$$

where Exp is the Riemannian exponential.

- 6: Increase the length of the edge leading from node k to its parent as follows,

$$e_k \leftarrow e_k + \frac{e_i \cdot e_j}{e_i + e_j}.$$

- 7: **end while**

- 8: Let $\hat{x}_r \in \mathbb{R}^{d \cdot k}$ be the assigned node value of the single remaining node in the tree. This is the root estimate.

- 9: Let $s_1, \dots, s_{|E|/2}$ be the standardized contrasts computed above. Map each contrast to the tangent space at \hat{x}_r and denote the resulting vectors by $\tilde{s}_1, \dots, \tilde{s}_{|E|/2}$ (see Supplementary Section 2 for details on this map). The estimated evolutionary covariance matrix is

$$\hat{\Sigma}^2 = \frac{\sum_{i,j} \tilde{s}_{ij} \tilde{s}_{ij}^T g(\hat{x}_r)}{|E|/2}, \quad (1)$$

where $g(\hat{x}_r)$ is the Riemannian metric matrix at \hat{x}_r .

return \hat{x}_r and $\hat{\Sigma}^2$

143 Given two landmark shapes x_i and x_j , the geodesic contrast $c_{ij} = \text{Log}_{x_i}(x_j)$ defines
144 a geodesic path of shapes from x_i to x_j . This geodesic path can be extended beyond the
145 landmarks to include all points in the surrounding domain, such as a rectangle
146 encompassing both shapes. The entire domain is transformed by a diffeomorphism ϕ that
147 maps the k landmarks of shape x_i to their corresponding landmarks in shape x_j , i.e.,
148 $\phi(x_i^r) = x_j^r$ for $r = 1, \dots, k$. The movement of surrounding points is determined smoothly
149 through an interpolation kernel (see Supplementary Section 2). In practice, the Gaussian
150 kernel width parameter $\sigma_{DICAROS}$.

151 For image reconstruction along the phylogenetic tree, consider x_i as the estimated
152 parent node of a child node x_j . If x_j has an associated image of G pixels $\{p_i\}_{i=1, \dots, G}$
153 arranged on a rectangular grid around its landmarks, we construct the parent node image
154 as follows: For each pixel p'_i in the parent image, we compute its color as a weighted
155 average of the colors of nearby pixels in the child image after applying the transformation
156 $\phi(p'_i)$. This process propagates iteratively along the branches of the tree, ultimately
157 yielding the reconstructed image at the estimated root shape and visualizing the
158 evolutionary trajectory through the tree.

159 In terms of implementations, DICAROS builds upon the numerical differential
160 geometry algorithms contained in JaxGeometry (Kühnel (2017, 2019)). These algorithms
161 are implemented using JAX (Bradbury (2018)), a library that enables highly optimized
162 and efficient numerical computations. The phylogenetic components of our method,
163 including the crucial "Upwards Pass" algorithm, which transverse the tree from leaves to
164 root, are implemented in Hyperiax
165 <https://github.com/ComputationalEvolutionaryMorphometry/hyperiax>. Hyperiax
166 leverages JAX to efficiently process independent branches in the phylogenetic tree in
167 parallel, significantly boosting computational performance.

168 *Shape simulation and comparison of method*

169 To evaluate DICAROS, we simulate shape evolution along phylogenetic trees using
170 the Kunita Flow (Stroustrup (2025)). The simulated leaf shapes are then used to
171 reconstruct the root shape, allowing us to compare DICAROS reconstruction ability, and
172 further compare against established reconstruction methods. The Kunita flow provides key
173 advantages over a Brownian Motion (BM) model, which would likely produce biologically
174 unrealistic shapes (Diaz-Uriarte (1996)). Instead, the Kunita flow models a shape as a set of
175 k landmarks x_1, \dots, x_k in a domain $D \subset \mathbb{R}^d$ (for $d = 2$ or 3), where landmark movements
176 are spatially correlated based on their proximity. This correlation ensures that nearby
177 landmarks move coherently and that shapes maintain biological plausibility as they evolve
178 (Arnaudon (2019, 2021); Sommer (2021); Stroustrup (2025)). The spatial correlation is
179 modeled using a scaled Gaussian kernel $k_{p_j}(x) = \alpha \cdot \exp\left(-\frac{\|p_j - x\|^2}{2\sigma^2}\right)$, where σ controls the
180 spatial extent of correlation (kernel width) and α determines the size of the process.

181 We benchmark DICAROS against two standard approaches in the field: (1)
182 Ordinary least squares estimation using *gm.prcomp* and *shape.predictor* from Geomorph
183 v4.0.6 (Adams (2013)) and (2) Maximum likelihood estimation of ancestral states under
184 Brownian motion using *anc.ML* from Phytools v2.3-0 (Revell (2024); Schluter (1997)). For
185 fair comparison, all reconstructed shapes are aligned to the original root via general
186 Procrustes alignment (Rohlf (1990)) using *gpagen* from Geomorph (Adams (2013,
187 2024)). To evaluate reconstruction accuracy, we compare the reconstructed root shapes to
188 the original root using normalized Procrustes distance. Specifically, we calculate the mean
189 least squared error (MLSE) between corresponding landmarks using Equation 2:

$$\epsilon = \frac{\sum_{i=1}^n \sqrt{(\mathbf{X}_{i_{original}} - \mathbf{X}_{i_{reconstructed}})^2}}{n} \quad (2)$$

190 where ϵ is the error, \mathbf{X}_i is the coordinate vector of landmark i , and n is the total
191 number of landmarks. The relative performance between methods is quantified as

192 $\epsilon = \epsilon_{\text{establish method}} - \epsilon_{\text{DICAROS}}$.

Biological data

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194 As a biological application of DICAROS, we analyzed Lepidoptera wings from the
195 Papilionidae family. The phylogenetic tree was derived from (Kawahara (2023)) and
196 matched with image data through the Global Biodiversity Information Facility (GBIF.org)
197 (GBIF (2024)). To ensure data quality, we restricted our selection to museum collections of
198 male specimens to account for sexual dimorphism. Images showing damaged wings or
199 improper orientation were manually excluded.

200 The phylogenetic tree was pruned using the *drop.tip* function from Ape (Paradis
201 (2019)) to remove taxa without shape data. We used *Segment Anything* (SAM) (Kirillov
202 (2023)) with *Grounding Dino* (Liu (2023)) to automatically extract the butterfly from its
203 background, using the prompt "Butterfly". Images were cropped to include only the
204 butterfly. Cases where SAM failed to identify a butterfly or identified multiple specimens
205 were excluded.

206 To differentiate between morphological features, hind-/fore-wings and thorax, six
207 anatomical landmarks were annotated on the outline for each image in a similar setting as
208 seen in (Chan (2022)). The annotation was done with the software *Supervisely*, by external
209 consultants hired through *fiverr.com*. The butterfly contour was extracted using
210 *cv2.findContours* from the Python package *opencv* (Bradski (2008)). The contour was
211 matched with the six anatomical landmarks, and for the regions covering the wing
212 sections, 30 equidistance landmarks were equidistance resampled with interpolation on the
213 wing contour. The methodology is detailed in Supplementary Section 3.

214 Each specimen was manually categorized based on tail morphology into three
215 categories: untailed, tailed, or long-tailed. Using DICAROS, a full ancestral reconstruction
216 was executed for the entire phylogeny, and the morphological changes from the leaves to
217 the root. Further, we use the covariance to visualize the entire evolutionary trajectory by
218 projecting the covariances and shape into the phylomorphospace.

219

RESULTS

220 The evaluation of DICAROS is based on the simulated leaf shapes from applying
221 Kunita Flow on different phylogenies with different parameters, root shapes, and sizes.
222 Figure 1 shows the steps of this comparison. First, a root shape (here, a butterfly) is
223 simulated on a phylogeny with four leaves. The leaf shapes are then used to reconstruct
224 the root shape and finally compare the reconstructed root the original root shape.

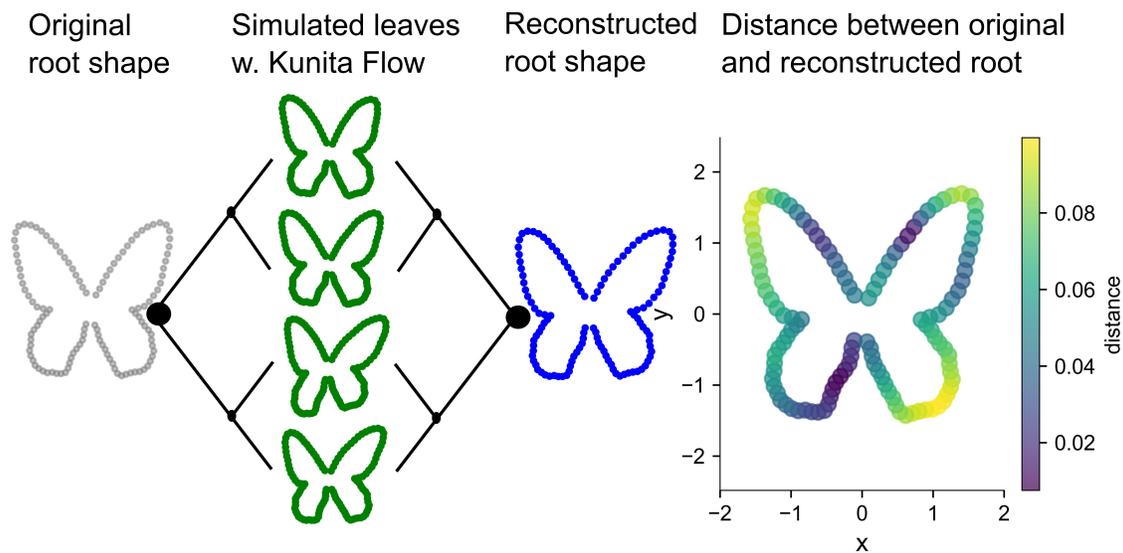


Fig. 1: The grey butterfly is used as the root shape for the Kunita Flow on a symmetric ultrametric phylogeny with four leaves. The root shape is simulated with Kunita Flow on the phylogeny to obtain the green leaf shapes. These leaf shapes are used for the reconstruction of the root shape using DICAROS on the same phylogeny. The reconstructed root shape in blue is then compared to the original root shape using the Euclidean distance between each landmark in the reconstructed and original root shape

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226 To repeat this experiment, four different root shapes are used. Two calculated
227 shapes (a circle and a sphere) and two real shapes (a butterfly and a bird beak), described
228 in Table 1.

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The robustness of DICAROS is tested by varying the $\sigma_{DICAROS}$ by a factor of 2 and 10, with $\sigma_{DICAROS}$ being the mean squared distance to the closest neighboring landmark. The test is executed on both symmetric and asymmetric ultrametric trees with a fixed total length. When the tree size is increased, the individual branch length decreases to

Table 1: The four shapes and their dimension (d) and number of landmarks (m), and the origin of the root shape

Shape	(d,m)	origin
Circle	(2,30)	Calculated
Sphere	(3,50)	Calculated
Butterfly	(2,118)	<i>Atrophaneura dixonii</i> (own data)
Birdbeak	(3,79)	<i>Fratercula arctica</i> (Cooney (2017))

232 represent a greater species diversification within the same period of time. The tree size
 233 vary by 2^k , ($k = 2, \dots, 8$) leaves and is repeated for all four shapes. For each configuration,
 234 the simulation is repeated 100 times. (Fig. 2)

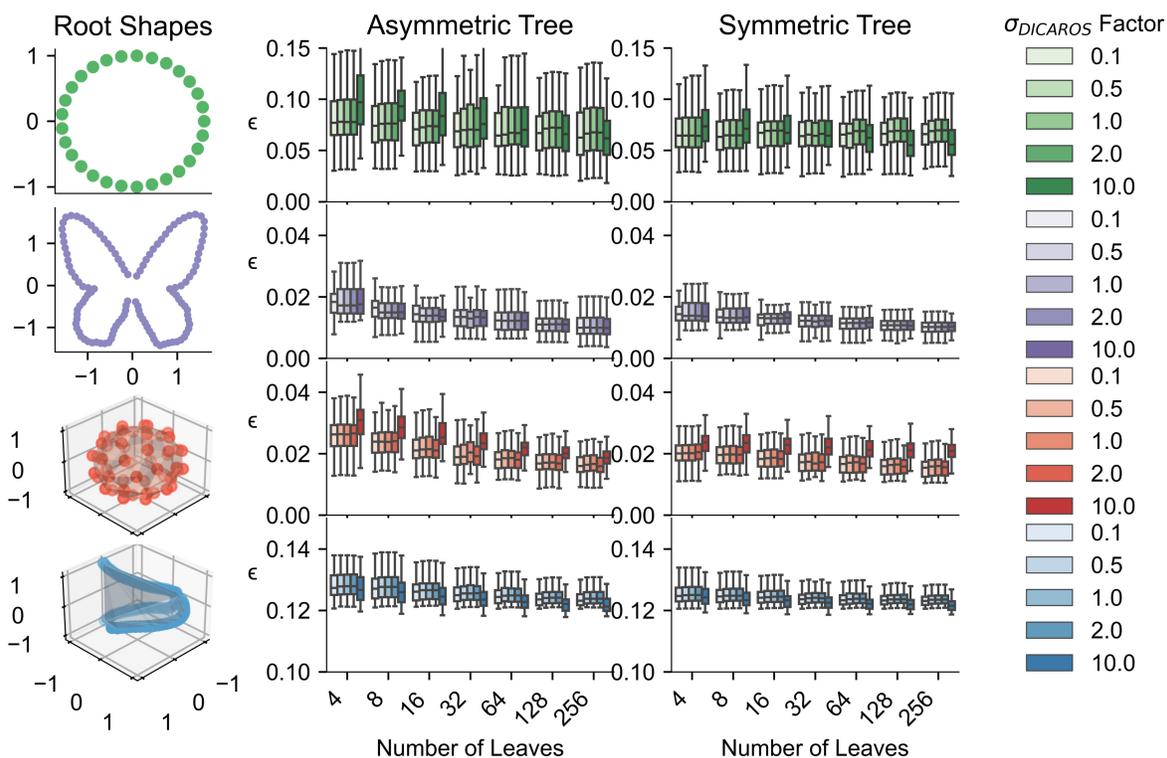


Fig. 2: The landmark configuration of each root shape is shown to the left, with the MSLE between the original and reconstructed root for both types of phylogenies, tree sizes, and scaled $\sigma_{DICAROS}$. The Y-axis is adjusted for each root shape, but the tendencies show a higher variance for the MSLE under an asymmetric phylogeny compared to the symmetric phylogeny

235 Figure 2 shows the robustness of the method for different $\sigma_{DICAROS}$, and the MSLE
 236 remains consistent between symmetric and asymmetric phylogeny across all 100

237 repetitions. We denote $\sigma_{DICAROS}$ as not to confuse it with the kernel width in the Kunita
238 Flow, noted as σ_{KF} . Figure 2 demonstrates the robustness of the method regardless of tree
239 topology. Based on these results, we fixed sigma at its base value without applying scaling
240 factors for subsequent analyses.

241 Continuing, with a fixed $\sigma_{DICAROS}$ at the factor equal to one, the experiment is
242 repeated for different parameters of the Kunita Flow. Here, the variance and noise
243 parameter (σ_{KF}, α) is changed to illustrate less and larger shape changes across the
244 phylogeny. For each simulation, the root is now reconstructed using DICAROS, OLS, and
245 BM. For each evaluation, the relative procrustes distance $\epsilon = \epsilon_{\text{establish method}} - \epsilon_{\text{DICAROS}}$,
246 is shown. Where a positive value on the y-axis, indicates a better reconstruction of the
247 root shape by DICAROS, compared to the other method. The relative error between OLS
248 and DICAROS is shown in Figure 3, where DICAROS are more accurate than OLS for
249 asymmetric chronograms, but they are similar for the symmetric chronogram. Except a
250 few outliers for the sphere where the OLS is not able to reconstruct the root as good as for
251 the other simulation.

252 The relative error between methods shown in Figures 3 and 4 corresponds to $\approx 10\%$
253 of the total error magnitude, when comparing the base case ($\sigma_{DICAROS}$ factor = 1) in
254 Figure 2, which shows absolute MSLE values for the circle is within [0.05;0.15], to the
255 relative differences in Figures 3 and 4 within [-0.005;0.015]. We observe this consistent 10%
256 relationship for all root shapes. This indicates that while DICAROS shows improvement
257 over existing methods, the magnitude of improvement is moderate compared to the overall
258 reconstruction error.

259 *Diffeomorphic Independent Contrasts for wing shape analysis*

260 The butterfly phylogeny with example images and shapes is shown in Figure 5. The
261 entire dataset encompasses 992 individual butterflies distributed across the 49 Papilionidae
262 species. The phylogenetic analysis contains three main morphological categories based on

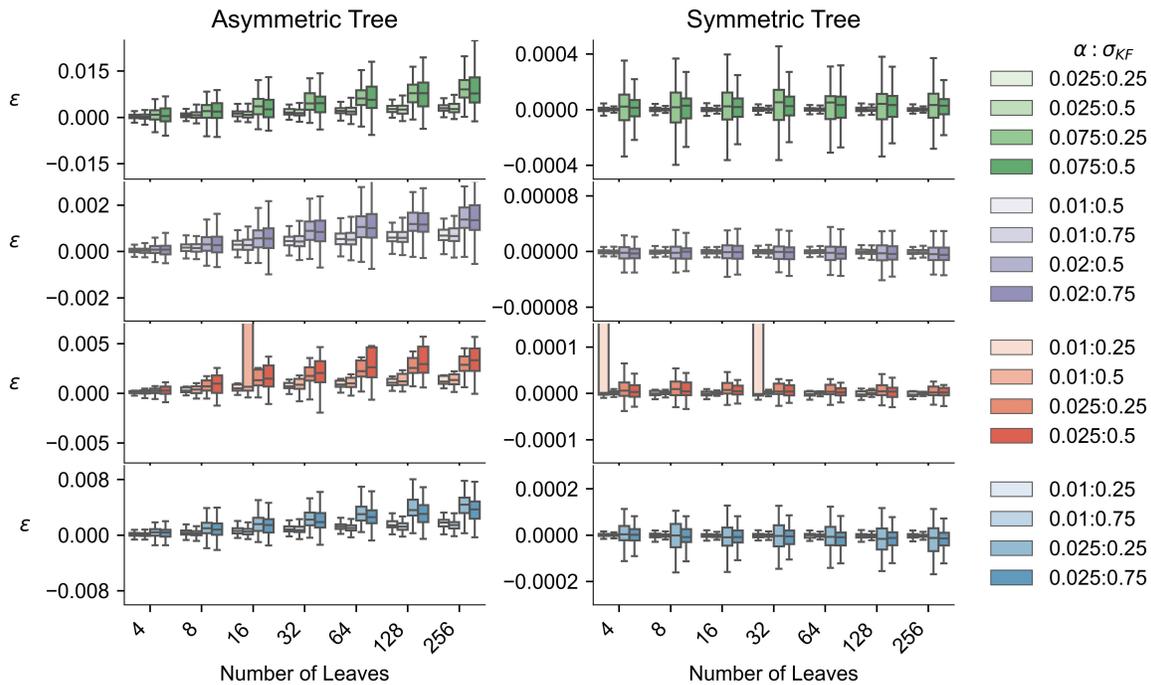


Fig. 3: The relative distance $\varepsilon = \epsilon_{\text{OLS}} - \epsilon_{\text{DICAROS}}$ is shown for four different root shapes (circle, butterfly, sphere, and bird beak) under varying Kunita Flow parameters. Positive values indicate that DICAROS outperforms OLS, which is seen for the asymmetric trees and increasing the tree sizes. For symmetric phylogenies (right column), both methods perform similarly with error distributions centered around zero. The boxplots show the distribution of relative errors across 100 simulations for each configuration

263 hindwing tail length: untailed, tailed, and long-tailed species. All mean shapes can be
 264 found in Supplementary Section 5 and origins of all images can be found in Supplementary
 265 Section 6. The entire dataset is shared in DataDryad;
 266 <https://doi.org/10.5061/dryad.41ns1rnr7>

267 With the mean shape of each species, we can visualize the phylomorphospace of the
 268 butterfly wing shape. The phylomorphospace is shown in Figure 6, where it illustrates the
 269 transition from the untailed to the long-tailed species. The color of the leaves matches the
 270 color of the species in Figure 5, and the root is placed as a star. For each branch in the
 271 phylogeny, a grey line is drawn and selected leaf mean shapes is shown across the path of
 272 least resistance.

273 DICAROS reveals distinct evolutionary patterns in butterfly wing morphology

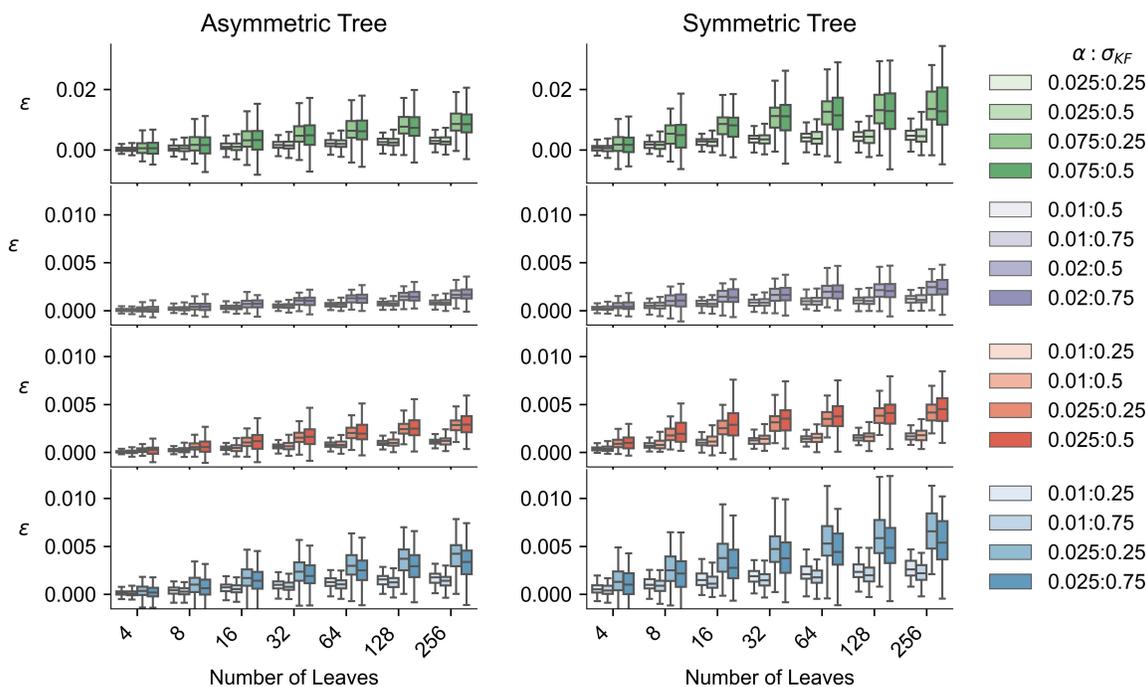


Fig. 4: Comparison of reconstruction accuracy between the Ancestral character estimation using maximum likelihood under Brownian Motion and DICAROS using relative MSLE ($\epsilon = \epsilon_{\text{BM}} - \epsilon_{\text{DICAROS}}$). The consistently positive values across both symmetric and asymmetric phylogeny indicate that DICAROS achieves better reconstruction accuracy compared to this method. This pattern holds true across all tested shapes and parameter configurations, demonstrating the robust performance advantage of DICAROS

274 demonstrating a clear separation between long-tailed and untailed species. To visualize the
 275 evolutionary transitions, we applied the estimated deformation fields from DICAROS to
 276 transform modern specimen images along their phylogenetic branches back to the root.
 277 Figure 7 shows this process for eight individuals across different species. The full
 278 animation, going from the leaf shape and continuous to the root shape, is visible at the
 279 GitHub supplementary repository <https://github.com/MichaelSev/DICAROS>.

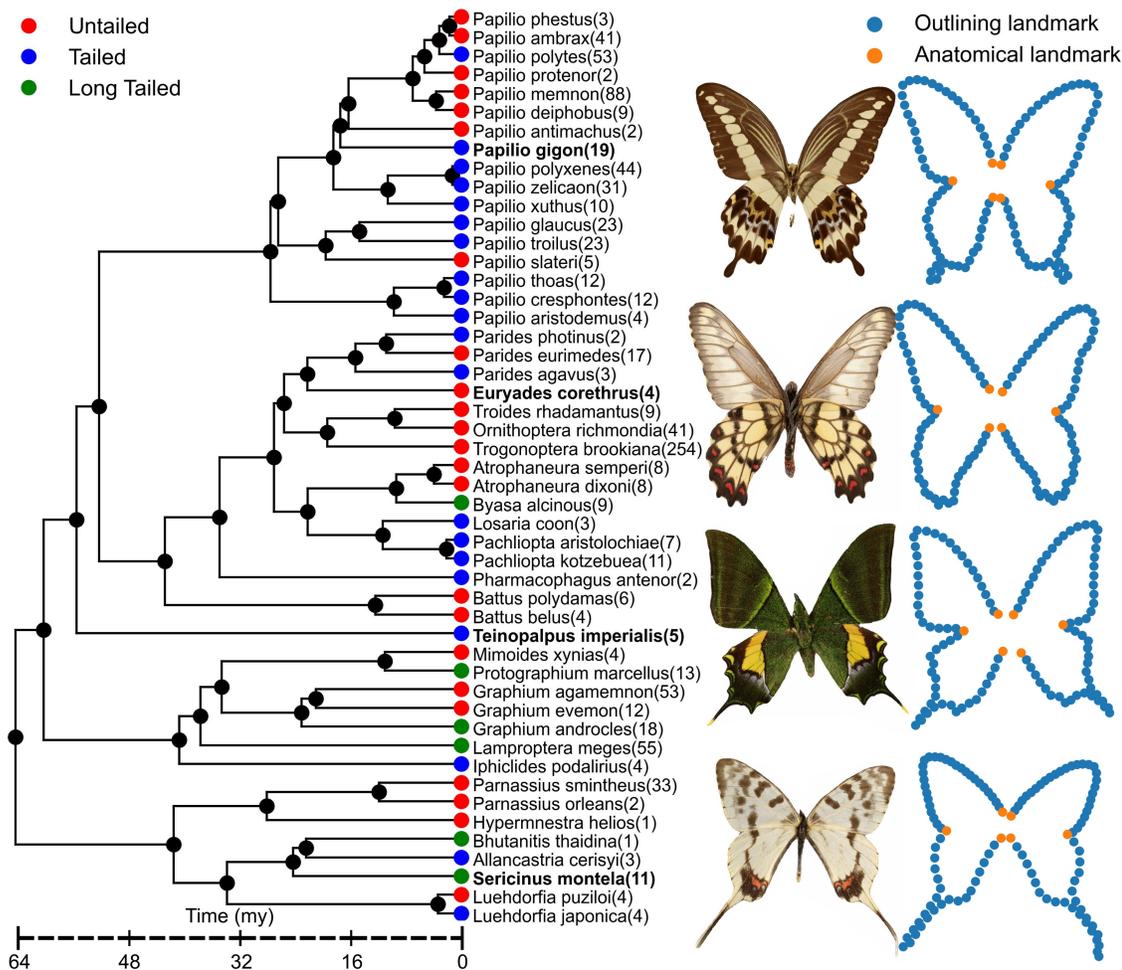


Fig. 5: Phylogenetic tree of the 49 Papilionidae species in our study, adapted from the larger Lepidoptera phylogeny from (Kawahara (2023)), with the number of specimens pr species in parenthesis. Species are categorized based on hindwing tail length: untailed, tailed, and long-tailed, with examples of four species specimens marked in bold *Papilio gigon*, *Euryades corethrus*, *Teinopalpus imperialis* and *Sericinus montela*.

DISCUSSION

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DICAROS represents an advancement in the field of ancestral shape reconstruction by demonstrating the novel use of LDDMM in a phylogenetic setting, utilizing tree topology as a map to reconstruct ancestral shapes. A key feature of DICAROS is its ability to incorporate correlations between landmarks, allowing for reconstruction to be applied to entire images through image manipulation (Fig. 7). Additionally, if the landmark data are only aligned using translation and rotation, the ancestral size can also be reconstructed.

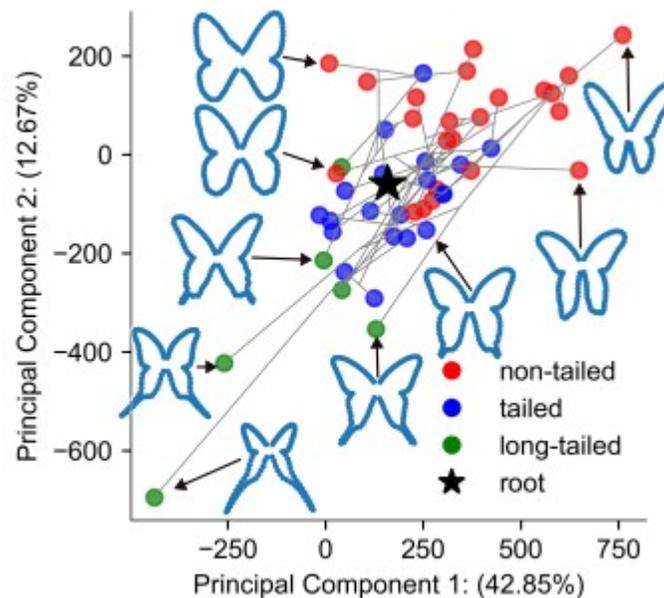


Fig. 6: The DICAROS Phylomorphospace of butterfly shapes across the Papilionidae phylogeny. The plot shows the distribution of species in the morphological space, with the ancestral root state marked as a star. Grey lines represent phylogenetic branches connecting species, and colors correspond to tail morphology categories as defined in Figure 5. The visualization reveals the transition of shape between long-tailed and untailed species

287 Using the methodology from Independent Contrasts (Felsenstein (1985)), it estimates the
288 evolutionary covariance matrices to project shape data of both leaves and reconstructed
289 inner node/root into phylomorphospace. The DICAROS implementation utilizes modern
290 frameworks including *JAX* (Bradbury (2018)), *Jaxgeometry* (Kühnel (2017, 2019)), and
291 *Hyperiax*, providing good performance and stability across different dataset sizes and shape
292 complexities. The application of DICAROS to butterfly wings, combined with
293 semi-automated segmentation and landmarking tools (Kirillov (2023)), not only provided
294 insight into the tail evolution/devolution of the swallowtail but also demonstrates the
295 potential for creating larger biological geometric morphometric datasets with greater time
296 efficacy compared to traditional human labor approaches.

297 DICAROS naturally operates under a design constraint that can only be used with
298 a rooted phylogeny. In contrast, other methods can illustrate the morphospace with and
299 without phylogeny (Baken (2021)). Furthermore, the implementation of DICAROS only

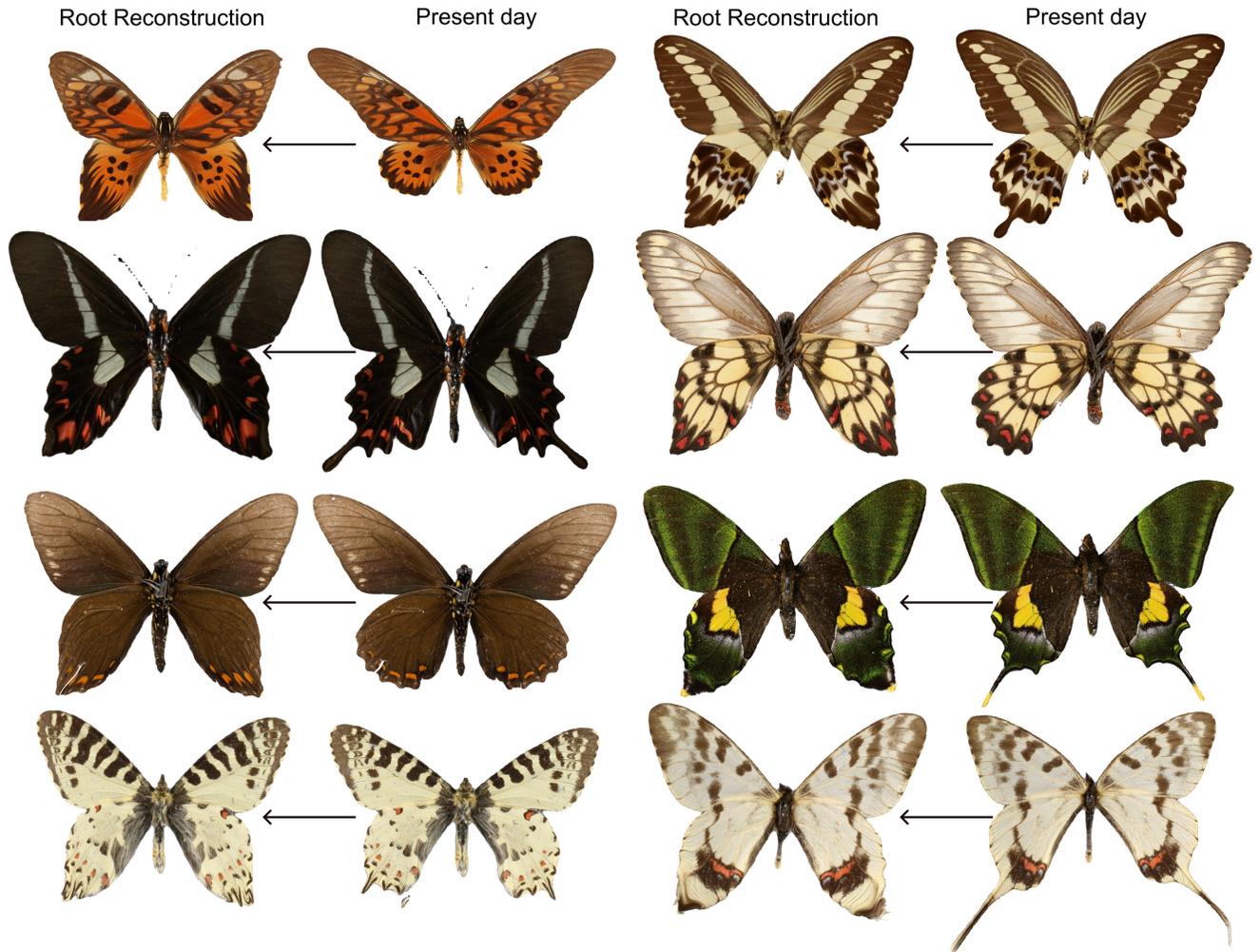


Fig. 7: The application of DICAROS on the image for the eight individuals across different species. With the right column corresponding to the individuals from Figure 5, *Papilio gigon*, *Euryades corethrus*, *Teinopalpus imperialis* and *Sericinus montela* and the right column corresponding to these species in bold; *Papilio antimachus*, *Parides agavus*, *Battus belus* and *Allancastris cerisyi*. The origin of the species is detailed in supplementary materials. A full transformation for the leaf species to the root species can be found at <https://github.com/MichaelSev/DICAROS>

300 uses bifurcating nodes, but the method can be generalized to include multifurcating nodes.
301 For the bifurcation nodes, the leaves can also be represented from a fossil or prehistoric
302 species, these tips can be inserted in the already existing inner nodes to make it the
303 so-called total evidence phylogeny. This will likely make the inner nodes more exact in their
304 reconstruction. Using the iteration of local computations that form the IC algorithm it is a

305 computational advantage instead of simply evaluating the closed-form MLE estimates.
306 When dealing with large phylogenies, DICAROS avoids the inversion of large matrices, as
307 seen for the MLE estimates. In the case of manifold valued nodes, as assumed by
308 DICAROS, the iteration of local computations is an advantage since the local computation
309 of inner nodes (step 5 in Algorithm 1) can be solved by a single evaluation of the
310 exponential map without the need of larger optimization schemes that would otherwise be
311 necessary for estimating the root directly from the leaves. The latter is done in Tangent
312 Phylogenetic PCA Akhøj (2023)), which formulates the root estimate as a weighted
313 Frechét mean (i.e. a mean on a Riemannian manifold), the computation which demands
314 the the same number of exponential map evaluations as the number of leaf nodes at every
315 iteration until convergence. This number of exponential map evaluations well exceed that
316 of DICAROS.

317 To evaluate the performance of DICAROS relative to existing methods, we
318 conducted four simulation experiments (Fig. 1. First, we simulated shapes evolving along
319 phylogenetic trees using the Kunita flow. Secondly, these simulated leaf shapes were used
320 to reconstruct the root shape using three methods: DICAROS, Ordinary least
321 squares(Adams (2013, 2024)), and Brownian Motion Maximum Likelihood(Revell (2024)).
322 Third, we compared the reconstructed root shapes to the true ancestral shape to calculate
323 reconstruction error (Eq. 2), and finally repeated this process under different topologies,
324 tree sizes, and root shapes to assess method performance across varying conditions (Fig
325 3,4). We show that DICAROS perform slightly better in ancestral shape reconstruction
326 under an asymmetric chronogram and for increasing tree sizes (Fig. 3,4). The results were
327 close to identical for a symmetric chronogram (Fig. 3 relative to the OLS from Geomorph
328 and DICAROS are more accurate in shape compared to the BM(Fig 4). This may be
329 related to the reconstruction under a symmetric tree roughly corresponds to the equal
330 weighted average among species. Whereas for the asymmetric tree, the morphological
331 evolution and tree topology is crucial, because its determine how the evidence from

332 different branches are weighted in the reconstruction process.

333 While our simulations provide insights into comparing DICAROS with existing
334 methods by the ancestral root shape, it is not possible to directly evaluate evolutionary
335 covariance estimation, as the Kunita flow used does not have a parameter directly
336 corresponding to the estimated evolutionary covariance matrix (Equation 1). Furthermore
337 The Kunita Flow arrives from a stochastic process tailored for shapes rather than a BM
338 model. When we evaluate our method based on simulations, we note that neither the
339 standard IC nor the DICAROS estimates correspond to maximum likelihood estimates
340 under this stochastic model, and therefore, is it fair to compare DICAROS and the other
341 methods with these simulated shapes.

342 To evaluate the sensitivity of DICAROS to the kernel size, we tested different
343 values of σ_{DICAR} by varying the default value (average distance of neighboring landmarks)
344 by factors of 2 and 10. Note that this σ_{DICAR} does not correspond to the kernel size used
345 in the simulations (σ_{KF}). The method showed robust performance across a range of kernel
346 sizes (Fig. 2). With very small kernel sizes approximating Euclidean space, performance
347 remained similar to the default value, demonstrating that the combination of LDDMM
348 with phylogenetic shape estimation provides better ancestral reconstruction compared to
349 OLS and BM models. However, performance degraded with excessively large kernel sizes,
350 as these caused all landmarks to move uniformly rather than capturing local shape
351 differences. This occurs because large kernels encompass most or all landmarks
352 simultaneously, preventing the LDDMM method from modeling independent landmark
353 movements needed for accurate shape reconstruction.

354 Butterflies are often used as model organisms in fields of biology, such as
355 conservation biology (Collins (1985); Sánchez-Bayo (2019)), phylogenetic inference
356 (Caterino (1999); Kawahara (2023)) and studies of independent evolution between fore-
357 and hind-wings (Chai (1990); Chotard (2022)) and how to do high throughput imaging of
358 museums specimens (Chan (2022)). Here we focus on the swallow-tailed family

359 Papilionidae, which has long fascinated researchers due to their many speciation events and
360 mimicry abilities (Mallet (1998); Carvalho (2024)), morphs (Le Roy (2019)), and sexual
361 dimorphism (Condamine (2012)). The tails appear independently throughout the
362 phylogeny and are not restrained to a monophyletic group. The tail can most often be on
363 the fourth vein, but this also differs in placement, count, shape, and occurrence between
364 species within and across genera and sexes (Nakae (2021)). Here, we aim to reconstruct the
365 trajectory of shape evolution for the swallowtail family using DICAROS and illuminate
366 that change using modern species images.

367 The biological dataset comprises wing shape data from 49 species of Papilionidae
368 butterflies (Fig. 5), representing $\approx 20\%$ of the recognized species in this family (Condamine
369 (2023)), and $\approx 68\%$ of the Papilionidae family from Kawahara et.al. 2023 (Kawahara
370 (2023)). After sorting the obtained images through GBIF, we obtained a total of 992
371 specimens on to base our mean shape. While our sample size for some species is limited, it
372 provides a foundation for exploring shape evolution within this group while showcasing the
373 power of the DICAROS method. The application of semi-automated landmark placement
374 on butterfly wing outlines utilized state-of-the-art segmentation tools (Kirillov (2023))(Fig.
375 5), together with using the contour instead of vein points with none/less homology across
376 the family allowed us to showcase a more comprehensive representation of Papilionidae
377 with a high number of individuals. For visualization purposes in the phylomorphospace, we
378 categorized hindwing types into three groups: untailed, tailed, and long-tailed. While this
379 classification simplifies the presentation in Figure 6, it is important to note that tail length
380 exists on a continuous spectrum rather than as discrete categories. For this purpose the
381 "tailed" group represents an intermediate state that shows overlap with both untailed and
382 long-tailed morphologies. This categorization was used solely for visualization and was not
383 incorporated into any quantitative analyses.

384 The phylomorphospace analysis (Fig. 6) revealed distinct patterns in the
385 distribution of butterfly wing shapes across Papilionidae. It has a clear separation between

386 long-tailed and untailed species, with a gradual transition of tail length in between. This
387 indicates that the presence of tails is a factor in shape variation with small tail length
388 representing intermediate evolutionary stages towards the long tail. With the root shape
389 represented as tailed and is within the tailed group. This conforms with (Chotard (2022)),
390 who employed a binary classification of tailed/untailed species with landmarks placed
391 manually on each vein. The image reconstruction (Fig. 7) provides a visualization of shape
392 evolution across evolutionary time. While this visual reconstruction effectively captures
393 changes in wing morphology, it is important to note its limitations. The reconstruction is
394 based solely on landmark shape data and does not account for other aspects of wing
395 morphology, such as pigmentation patterns or wing-scale structures. The color scheme in
396 the deformations is arbitrary and does not reflect actual evolutionary changes in wing
397 coloration, but only from the leaf image. Despite these constraints, this approach
398 represents a significant advancement in the quantitative analysis and visualization of
399 morphological evolution in Lepidoptera. The reconstruction supports the hypothesis that
400 the ancestral state of Papilionidae was likely tailed.

401

CONCLUSION

402 In conclusion, this study introduces DICAROS as a novel method using LDDMM
403 for ancestral shape reconstruction in phylogenetic contexts. The method offers significant
404 advantages, including the incorporation of correlations between landmarks. While
405 computationally more intensive than traditional approaches, DICAROS leverages modern
406 tools like JAX, Jaxgeometry, and Hyperiax to enhance performance, mainly through GPU
407 acceleration. As such, it represents an advancement in the field of geometric
408 morphometrics evolution studies.

409 Our validation through simulations demonstrated that DICAROS obtained similar
410 results and outperformed established methods in the case of asymmetric trees(Fig 3,4).
411 The method's accuracy improved with increasing numbers of leaf nodes, highlighting its

412 potential for large-scale analyses. These simulations provide a solid foundation for the
413 method's reliability in reconstructing ancestral shapes while preserving both form and
414 shape.

415 Our application of DICAROS to Papilionidae butterfly wing shapes supported
416 insights into their evolution. We hypothesize that the evolution of modern non-tailed
417 species originates from a tailed ancestor and that similar structures in phylomorphospace
418 (Fig. 6) are observed for independence of hindwing and forewing shape evolution. The
419 novel implementation of image reconstruction by DICAROS offers a new tool for studying
420 any shape evolution on a phylogeny, which will contribute to our understanding of shape
421 evolution across time.

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436

DATA AVAILABILITY

437

Code for figures and both simulated and biological dataset are shared in the data

438

dryid DOI; <https://doi.org/10.5061/dryad.41ns1rnr7>. Further illustration and

439

biological examples is available from Github repository;

440

<https://github.com/MichaelSev/DICAROS>. For Hyperiax, we advertise for

441

<https://github.com/ComputationalEvolutionaryMorphometry/hyperiax>, to follow the

442

most recent updates.

443

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